



## A DESCRIPTION OF A DRINFELD MODULE WITH CLASS NUMBER $h = 1$ AND RANK 1

Victor Bautista-Ancona<sup>1</sup>, Javier Diaz-Vargas<sup>1</sup>,  
José Alejandro Lara Rodríguez<sup>1</sup> and Francisco X. Portillo-Bobadilla<sup>2</sup>

<sup>1</sup>Universidad Autónoma de Yucatán  
México

<sup>2</sup>Universidad Autónoma de la Ciudad de México  
México

### Abstract

We work out in detail the Drinfeld module over the ring

$$A = \mathbb{F}_2[x, y]/(y^2 + y = x^3 + x + 1).$$

The example in question is one of the four examples that come from quadratic imaginary fields with class number  $h = 1$  and rank one.

We develop specific formulas for the coefficients  $d_k$  and  $\ell_k$  of the exponential and logarithmic functions and relate them with the product  $D_k$  of all monic elements of  $A$  of degree  $k$ . On the Carlitz module,  $D_k$  and  $d_k$  coincide, but this is not true for general Drinfeld modules. On this example, we obtain a formula relating both invariants. We prove also using elementary methods a theorem due to Thakur that relate two different combinatorial symbols important in the analysis of solitons.

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Received: July 4, 2017; Revised: August 5, 2017; Accepted: August 22, 2017

2010 Mathematics Subject Classification: 11G09.

Keywords and phrases: Drinfeld module, exponential and logarithmic functions.

### 1. Introduction

Let  $\mathbb{F}_q$  be a finite field of characteristic  $p$  and  $K$  be a function field over  $\mathbb{F}_q$ . After we choose  $\infty$ , a fixed infinite place of  $K$ , let  $A$  be the ring of regular functions outside of  $\infty$  and let  $K_\infty$  be its completion. Now take  $\mathbb{C}_\infty$  to be the completion of an algebraic closure of  $K_\infty$ .

Let  $\mathbb{C}_\infty\{\tau\}$  be the ring of *twisted polynomials*, i.e., the noncommutative ring of polynomials  $\sum a_i \tau^i$  with coefficients in  $\mathbb{C}_\infty$  such that  $\tau z = z^q \tau$ . A twisted polynomial  $f = a_0 + a_1 \tau + \cdots + a_d \tau^d \in \mathbb{C}_\infty\{\tau\}$  is identified with the  $\mathbb{F}_q$ -linear endomorphism of  $\mathbb{C}_\infty$ ,

$$z \mapsto f(z) = a_0 z + a_1 z^q + \cdots + a_d z^{q^d}.$$

A Drinfeld  $A$ -module is an  $\mathbb{F}_q$ -algebra homomorphism  $\rho : A \rightarrow \mathbb{C}_\infty\{\tau\}$  injective, for which  $\rho(a) = a\tau^0 +$  higher order terms in  $\tau$ . The action  $a \cdot z = \rho(a)(z)$  of  $A$  in  $\mathbb{C}_\infty$  makes  $\mathbb{C}_\infty$  into an  $A$ -module, and hence the name “Drinfeld module”.

For each Drinfeld module  $\rho$  we associate an exponential entire function  $e$  defined by a power series

$$e(z) = \sum_{i=0}^{\infty} \frac{z^{q^i}}{d_i} \text{ for all } z \in \mathbb{C}_\infty.$$

This exponential function satisfies the following fundamental functional equation:

$$e(az) = \rho_a(e(z)), \tag{1}$$

for  $z \in \mathbb{C}_\infty$  and  $a \in A$ , where  $\rho_a$  stands for  $\rho(a)$ .

The Carlitz module, defined by Carlitz [1] in 1935, is given by the  $\mathbb{F}_q$ -algebra homomorphism  $C : \mathbb{F}_q[t] \rightarrow \mathbb{C}_\infty\{\tau\}$  determined by  $C_t = t + \tau^q$ .

Equation (1) produces  $e(tz) = te(z) + e(z)^q$ . It follows that

$$\sum_{i=0}^{\infty} \frac{(t^{q^i} - t)z^{q^i}}{d_i} = \sum_{i=0}^{\infty} \frac{z^{q^{i+1}}}{d_i^q}.$$

By equating coefficients we get a unique solution  $d_n = [n]d_{n-1}^q$ , where  $[n] = (t^{q^n} - t)$  and  $d_0 = 1$ . Therefore,  $d_n = [n][n-1]^q \dots [1]^{q^{n-1}}$  and it is easily seen that  $d_n$  is the product of all monic polynomials of degree  $n$ .

Since  $e(z)$  is periodic, it cannot have a global inverse, but we may formally derive an inverse  $\log(z)$  for  $e(z)$  as a power series around the origin. By definition  $e(\log(z)) = z$ . Since  $e(z)$  satisfies the functional equation  $e(tz) = te(z) + e(z)^q$ , it follows that  $tz = \log(te(z)) + \log(e(z)^q)$ . Replacing  $\log(z)$  for  $z$  we obtain  $t \log(z) = \log(tz) + \log(z^q)$ . Let  $\log(z) = \sum z^{q^i} / \ell_i$ . Then

$$\sum_{i=0}^{\infty} \frac{(t - t^{q^i}) \cdot z^{q^i}}{\ell_i} = \sum_{i=0}^{\infty} \frac{z^{q^{i+1}}}{\ell_i}.$$

It follows that  $\ell_{i+1} = -[i+1]\ell_i$ . Therefore  $\ell_i = (-1)^i [i][i-1] \dots [1]$ .

We follow the ideas developed in the Carlitz module case, but applied to the Drinfeld module over  $A = \mathbb{F}_2[x, y]/(y^2 + y = x^3 + x + 1)$ . We explore specific ways to understand the mentioned example, which is one of four examples provided from imaginary quadratic fields with class number  $h = 1$  [4] and rank 1. The formulas obtained are compared with Theorem 4.15.4 of [5] and are related to solitons, as exposed in Chapter 8 of the same reference, and Theorem 3 of the article [6].

### 2. Action of the Drinfeld Module on the Variables $x$ and $y$

In our example, we have  $d_\infty = 1$ ,  $v_\infty(x) = -2$ ,  $v_\infty(y) = -3$ , and using

that  $\deg(a) = -v_\infty(a)d_\infty$ ,  $\forall a \in A$ , it follows that  $\deg(x) = 2$  and  $\deg(y) = 3$ .

Based on it, the Drinfeld module  $\rho$  that we are considering has rank 1 and is determined by its values in  $x$  and  $y$  (actually, it is enough to know its value in one element  $a \in A$ , see 2.5 in [5]). According to the aforementioned degrees and that the unique sign in our example is  $+1$ , we obtained that

$$\begin{aligned}\rho_x &= x + x_1\tau + \tau^2, \\ \rho_y &= y + y_1\tau + y_2\tau^2 + \tau^3\end{aligned}$$

with  $x_1, y_1, y_2 \in A$ . Now, using the commutative property of the Drinfeld module  $\rho_x\rho_y = \rho_y\rho_x$  and equating on degree 1, we get

$$x_1(y^2 + y) = y_1(x^2 + x).$$

Next, using the equation on the curve  $y^2 + y = x^3 + x + 1$  and dividing, we obtain

$$y_1 = x_1\left(x + 1 + \frac{1}{x^2 + x}\right).$$

This implies that  $x^2 + x \mid x_1$  and  $y^2 + y \mid y_1$ . Assuming that  $x_1 = x^2 + x$ , it is also obtained that  $y_1 = y^2 + y$ . Now, equating on degree 2, one has the equation

$$(x^4 + x)y_2 = -y_1x_1^2 + y_1^2x_1 + (y^4 + y). \quad (2)$$

But, we can use the identities

$$\begin{aligned}y^4 + y &= (y^2 + y)^2 + y^2 + y \\ &= (y^2 + y)(y^2 + y + 1) \\ &= (y^2 + y)(x^3 + x) \\ &= (y^2 + y)(x^2 + x)(x + 1)\end{aligned}$$

and

$$x^4 + x = (x^2 + x)(x^2 + x + 1).$$

So dividing the equation (2) by  $x_1 = x^2 + x$ , and substituting the values  $x_1$  and  $y_1$ , we get

$$\begin{aligned} y_2(x^2 + x + 1) &= (y^2 + y)(x^2 + x + y^2 + y) + (y^2 + y)(x + 1) \\ &= (y^2 + y)(y^2 + y + x^2 + 1) \\ &= (y^2 + y)(x^3 + x^2 + x). \end{aligned}$$

Thus, clearing  $x^2 + x + 1$ , we have  $y_2 = x(y^2 + y)$ , as it is known in the literature [3, Example 11.3].

### 3. Exponential and Logarithm Coefficients

We find recursive formulas for the coefficients of both the exponential  $e(z)$  and the logarithmic  $\log(z)$  functions associated to the Drinfeld module from Section 2.

Write

$$e(z) = \sum_{i=0}^{\infty} \frac{z^{2^i}}{d_i} = \sum_{i=0}^{\infty} a_i z^{2^i}$$

and

$$\log(z) = \sum_{i=0}^{\infty} \frac{z^{2^i}}{\ell_i} = \sum_{i=0}^{\infty} b_i z^{2^i},$$

where  $a_i = d_i^{-1}$  and  $b_i = \ell_i^{-1}$ . Using that

$$\begin{aligned} e(xz) &= \rho_x(e(z)) \\ &= xe(z) + [1]_x e^2(z) + e^4(z), \end{aligned}$$

where  $[1]_x = x^2 + x$ . Then, expanding both sides of the equality:

$$e(xz) + xe(z) = [1]_x e^2(z) + e^4(z),$$

we have on the left side:

$$\begin{aligned} e(xz) + xe(z) &= \sum_{j=0}^{\infty} (x^{2^j} + x) a_j z^{2^j} \\ &= \sum_{j=0}^{\infty} [j]_x a_j z^{2^j} \\ &= [1]_x a_1 z^2 + \sum_{j=2}^{\infty} [j]_x a_j z^{2^j}, \end{aligned} \quad (3)$$

where  $[j]_x := x^{2^j} + x$ . Now, expanding the right side, we get:

$$[1]_x e^2(z) + e^4(z) = [1]_x \sum_{i=0}^{\infty} a_i^2 z^{2^{i+1}} + \sum_{i=0}^{\infty} a_i^4 z^{2^{i+2}}.$$

By setting  $j = i + 1$  in the first sum, and  $j = i + 2$  in the second sum, we obtain:

$$\begin{aligned} [1]_x e^2(z) + e^4(z) &= [1]_x \sum_{j=1}^{\infty} a_{j-1}^2 z^{2^j} + \sum_{j=2}^{\infty} a_{j-2}^4 z^{2^j} \\ &= [1]_x a_0^2 z^2 + \sum_{j=2}^{\infty} ([1]_x a_{j-1}^2 + a_{j-2}^4) z^{2^j}. \end{aligned} \quad (4)$$

Comparing equations (3) and (4), recursive formulas are obtained

$$\begin{aligned} a_1 &= a_0^2, \\ a_j &= \frac{[1]_x a_{j-1}^2 + a_{j-2}^4}{[j]_x} \text{ for } j \geq 2. \end{aligned} \quad (5)$$

Subsequently, we assume that  $a_0 = 1$ , i.e., the exponential is normalized. Notice that if we do not normalize the coefficients, the exponential function varies by a factor given by the initial term. If we denote  $e(z, a_0)$  to this exponential function, it is easy to see that

$$e(z, a_0) = a_0 e(z), \quad (6)$$

where  $e(z)$  is the normalized exponential.

Now, in terms of the  $d_j$ 's (assuming also, the normalization of the exponential), the recursive formula is as follows:

$$\begin{aligned} d_1 &= d_0^2 = 1, \\ d_j &= \frac{[j]_x d_{j-1}^2 d_{j-2}^4}{[1]_x d_{j-2}^4 + d_{j-1}^2} \text{ for } j \geq 2. \end{aligned} \quad (7)$$

Similarly, for the logarithm function, we have that

$$\begin{aligned} x \log(z) &= \log(\rho_x(z)) \\ &= \log(xz + [1]_x z^2 + z^4) \\ &= \log(xz) + \log([1]_x z^2) + \log(z^4), \end{aligned}$$

from which it follows that

$$x \log(z) + \log(xz) = \log([1]_x z^2) + \log(z^4).$$

So, we expanded the left side to

$$\begin{aligned} x \log(z) + \log(xz) &= \sum_{j=0}^{\infty} (x^{2^j} + x) b_j z^{2^j} \\ &= \sum_{j=1}^{\infty} [j]_x b_j z^{2^j}. \end{aligned} \quad (8)$$

Note that  $[0]_x = 0$ . The right side must be

$$\log([1]_x z^2) + \log(z^4) = \sum_{i=0}^{\infty} [1]_x^{2^i} b_i z^{2^{i+1}} + \sum_{i=0}^{\infty} b_i z^{2^{i+2}}.$$

Again, by setting  $j = i + 1$  in the first sum, and  $j = i + 2$  in the second sum, we obtain

$$\log([1]_x z^2) + \log(z^4) = [1]_x b_1 z^2 + \sum_{j=2}^{\infty} ([1]_x^{2^{j-1}} b_{j-1} + b_{j-2}) z^{2^j}. \quad (9)$$

Comparing the terms in the equations (8) and (9), we obtain the recursive formulas:

$$\begin{aligned} b_1 &= b_0, \\ b_j &= \frac{[1]_x^{2^{j-1}} b_{j-1} + b_{j-2}}{[j]_x} \text{ for } j \geq 2. \end{aligned} \quad (10)$$

Now again, if  $\log(z, b_0)$  is the logarithmic function with initial term  $b_0$ , and  $\log(z) = \log(z, 1)$  is the normalized logarithm, by the recursion formula, we deduce the relation:

$$\log(z, b_0) = b_0 \log(z). \quad (11)$$

In terms of values  $\ell_i$ 's, the recursions are as follows:

$$\begin{aligned} \ell_1 &= \ell_0, \\ \ell_j &= \frac{[j]_x \ell_{j-1} \ell_{j-2}}{[1]_x^{2^{j-1}} \ell_{j-2} + \ell_{j-1}} \text{ for } j \geq 2. \end{aligned}$$

#### 4. Formulæ for Computing $\rho_a$

The first formula is recursive and is in the spirit of Proposition 3.3.10 in [2].



Assume that  $\rho_a = \sum_{k=0}^d \rho_{a,k} \tau^k$  with  $d = \deg(a)$ . We will use again commutativity  $\rho_x \rho_a = \rho_a \rho_x$  and the explicit expression:  $\rho_x = x + [1]_x \tau + \tau^2$ . Then, multiplying

$$\begin{aligned} \rho_x \rho_a &= (x + [1]_x \tau + \tau^2) \left( \sum_{k=0}^d \rho_{a,k} \tau^k \right) \\ &= \sum_{k=0}^d (x \rho_{a,k} \tau^k + [1]_x \rho_{a,k}^2 \tau^{k+1} + \rho_{a,k}^4 \tau^{k+2}) \end{aligned}$$

and multiplying

$$\begin{aligned} \rho_a \rho_x &= \left( \sum_{k=0}^d \rho_{a,k} \tau^k \right) (x + [1]_x \tau + \tau^2) \\ &= \sum_{k=0}^d (x^{2^k} \rho_{a,k} \tau^k + [1]_x^{2^k} \rho_{a,k} \tau^{k+1} + \rho_{a,k} \tau^{k+2}). \end{aligned}$$

By comparing terms a recursive formula is obtained

$$\rho_{a,0} = a \quad (\text{first term in recursion}),$$

$$\rho_{a,1} = a^2 + a \quad (\text{comparing degree } k = 1),$$

$$\rho_{a,k} = \frac{[1]_x^{2^{k-1}} \rho_{a,k-1} + \rho_{a,k-2}}{[k]_x} + \frac{[1]_x \rho_{a,k-1}^2 + \rho_{a,k-2}^4}{[k]_x}, \text{ for } k \geq 2.$$

Note the similarity to the recursive formulas for  $a_j$ 's and  $b_j$ 's in the previous section, equations (5) and (10). The same phenomenon occurs in the Carlitz module, but in such a case, there is only a single summand.

Another way to calculate  $\rho_a$ , is based on the use of the exponential and the logarithm functions and their formal development as power series. We know that

$$e(a \log(z)) = \rho_a(e(\log(z))) = \rho_a(z).$$

Using power series as in the previous section, we get to

$$\begin{aligned} \rho_a(z) &= \sum_{k=0}^{\infty} \left( \sum_{j=0}^k a_j b_{k-j}^{2^j} a^{2^j} \right) z^{2^k} \\ &= \sum_{k=0}^{\infty} \left( \sum_{j=0}^k \frac{a^{2^j}}{d_j \ell_{k-j}^{2^j}} \right) z^{2^k}. \end{aligned}$$

The combinatorial terms in the sum, are the ones that Thakur used to develop his alternative perspective on solitons [6].

We introduce the following notation used hereafter:

$$p_k(w) := \left\{ \begin{matrix} w \\ q^k \end{matrix} \right\} := \sum_{j=0}^k \frac{w^{2^j}}{d_j \ell_{k-j}^{2^j}}.$$

Hence, since  $\rho_a = \sum \rho_{a,k} \tau^k$  is a monic polynomial in  $\tau$  of degree  $\deg(a)$ , we have that  $p_k(a) = 0$  if  $\deg(a) < k$ ; and  $p_k(a) = 1$  if  $\deg(a) = k$ .

### 5. Comparing the Polynomials $p_k(w)$ and $e_k(w)$

Define the following sets:

$$A_{<k} := \{a \in A : \deg(a) < k\},$$

$$A_k := \{a \in A : \deg(a) = k\}$$

and the polynomial

$$e_k(w) = \prod_{a \in A_{<k}} (w + a).$$

Clearly, by the last paragraph in the previous Section 4, every  $a \in A_{<k}$  is a root of  $p_k(w)$ . Thus,

$$R_k(w) := \frac{p_k(w)}{e_k(w)}$$

is a polynomial. In addition, as  $p'_k(w) = a_k = \ell_k^{-1} \neq 0$ ,  $p_k(w)$  and  $R_k(w)$  have no double roots.

In order to calculate the polynomial  $R_k(w)$ , suppose

$$p_k(w) = \sum_{i=0}^k A_{k,i} w^{2^i}$$

and

$$e_k(w) = \sum_{i=0}^{k-1} B_{k,i} w^{2^i}. \tag{12}$$

Then, we have the following result:

**Theorem 5.1.**  $R_k(w) = \frac{1}{d_k} e_k(w) + C$ , where  $C = \frac{1}{d_{k-1}} + \frac{B_{k,k-2}^2}{d_k}$ .

**Proof.** Only for the purpose of this proof, suppose  $k$  is fixed and write  $A_i = A_{k,i}$  and  $B_i = B_{k,i}$ . Now, directly dividing  $p_k$  by  $e_k$ , using that  $e_k$  is monic, the first term of the quotient ratio is  $A_k w^{2^{k-1}}$ . Then, in the first line of the long division, we have:

$$A_k B_{k-2} w^{2^{k-1}+2^{k-2}} + A_k B_{k-3} w^{2^{k-1}+2^{k-3}} + \dots + A_k B_0 w^{2^{k-1}+1} + A_{k-1} w^{2^{k-1}} + \text{lower terms.}$$

This implies that the next term of the quotient is  $A_k B_{k-2} w^{2^{k-2}}$ , and therefore, multiplying by the summands of  $e_k$ , after cancellation of the term  $A_k B_{k-2} w^{2^{k-1}+2^{k-2}}$ , new summands will be incorporated into the residue in the positions corresponding to the powers:

$$w^{2^{k-1}}, w^{2^{k-2}+2^{k-3}}, \dots, w^{2^{k-2}+1}.$$

Hence, all the new terms fall into the “lower terms” of the long division with exception of the coefficient on  $w^{2^{k-1}}$ . This coefficient is  $A_{k-1} + A_k B_{k-2}^2$ .

When continuing the division and canceling the terms of the form  $A_k B_j w^{2^{k-1}+2^j}$  for  $j < k - 2$ , the terms equal or higher to  $w^{2^{k-1}}$  are not affected. This ensures that the obtained quotient is:

$$A_k w^{2^{k-1}} + A_k B_{k-2} w^{2^{k-2}} + A_k B_{k-3} w^{2^{k-3}} + \dots + A_k B_0 w + A_{k-1} + A_k B_{k-2}^2.$$

The result follows, using that  $A_k = d_k^{-1}$  and  $A_{k-1} = d_{k-1}^{-1}$ . □

### 6. Coefficient Formulas for $e_k(w)$

For  $k \geq 2$ , set

$$t_k = \begin{cases} x^{\frac{k}{2}}, & \text{if } k \text{ is even,} \\ yx^{\frac{k-3}{2}}, & \text{if } k \text{ is odd.} \end{cases}$$

Now, it is clear that  $\deg(t_k) = k$  and that the set  $\{1, t_2, \dots, t_{k-1}\}$  is a basis of the vector space  $A_{<k}$ . Define  $D_k := e_k(t_k) = \prod_{a \in A_k} a$ . Thus, for  $k \geq 3$ ,

$$\begin{aligned} e_k(w) &= \prod_{a \in A_{<k}} (w + a) = \prod_{a \in A_{<k-1}} (w + a) \prod_{a \in A_{k-1}} (w + a) \\ &= \prod_{a \in A_{<k-1}} (w + a) \prod_{a \in A_{<k-1}} (w + t_{k-1} + a) \\ &= e_{k-1}(w) e_{k-1}(w + t_{k-1}) = e_{k-1}^2(w) + D_{k-1} \cdot e_{k-1}(w). \end{aligned} \tag{13}$$

Expanding the right side of the equation (13), we find recursive formulas for the coefficients  $B_{k,i}$  in (12):

$$\begin{aligned} e_{k-1}^2(w) + D_{k-1} \cdot e_{k-1}(w) &= \left( \sum_{i=0}^{k-2} B_{k-1,i} w^{2^i} \right)^2 + D_{k-1} \left( \sum_{i=0}^{k-2} B_{k-1,i} w^{2^i} \right) \\ &= \sum_{i=1}^{k-1} B_{k-1,i-1}^2 w^{2^i} + \sum_{i=0}^{k-2} D_{k-1} B_{k-1,i} w^{2^i}. \end{aligned}$$

Indeed, we have

$$B_{k,0} = D_{k-1} B_{k-1,0} = D_{k-1} D_{k-2} \cdots D_2,$$

$$B_{k,i} = D_{k-1} B_{k-1,i} + B_{k-1,i-1}^2,$$

$$B_{k,k-1} = B_{k-1,k-2} = \cdots = B_{2,1} = 1.$$

Before developing explicit formulas for the coefficients  $B_{k,i}$ , we introduce the following symbols:

$$[1]_w = w^2 + w,$$

$$[k]_w = w^{2^k} + w.$$

It is not difficult to prove that these symbols satisfy the following:

**Lemma 6.1.** *Properties of the symbol  $[k]_w$ .*

$$(1) [k]_w^{2^j} = [k]_w^{2^j},$$

$$(2) [1]_{[k]_w} = [k]_{[1]_w},$$

$$(3) [k]_{w_1+w_2} = [k]_{w_1} + [k]_{w_2},$$

$$(4) [k + 1]_w = [k]_w^2 + [1]_w,$$

$$(5) [k]_w = \sum_{i=0}^{k-1} [1]_w^{2^i}.$$

Notice that  $e_k(w)$  is a polynomial on  $[1]_w$  of degree  $2^{k-2}$ . Set

$$e_k(w) = \sum_{i=0}^{k-2} T_{k,i} [1]_w^{2^i}.$$

Next, we will find specific formulas for the coefficients  $T_{k,i}$ 's. First, define the following functions:

$$S_{n,r}(x_1, x_2, \dots, x_n) = \sum_{n \geq i_1 > i_2 > \dots > i_r \geq 1} \prod_{j=1}^r x_{i_j}^{2^{n-j+1-i_j}}.$$

We have the following lemma:

**Lemma 6.2.** *Properties of the sums  $S_{n,r}(x_1, x_2, \dots, x_n)$ .*

$$(1) S_{n,0}(x_1, \dots, x_n) = 1,$$

$$(2) S_{n,1}(x_1, \dots, x_n) = x_n + x_{n-1}^2 + \dots + x_1^{2^{n-1}},$$

$$(3) S_{n+1,r}(x_1, \dots, x_{n+1}) = S_{n,r}^2(x_1, \dots, x_n) + x_{n+1} S_{n,r-1}(x_1, \dots, x_n).$$

**Proof.** The first two assertions are immediate.

For the third, note that:

$$\begin{aligned} S_{n,r}^2(x_1, \dots, x_n) &= \left( \sum_{n \geq i_1 > i_2 > \dots > i_r \geq 1} \prod_{j=1}^r x_{i_j}^{2^{n-j+1-i_j}} \right)^2 \\ &= \sum_{n \geq i_1 > i_2 > \dots > i_r \geq 1} \prod_{j=1}^r x_{i_j}^{2^{n+1-j+1-i_j}}. \end{aligned} \tag{14}$$

On the other hand,

$$\begin{aligned}
 x_{n+1}S_{n,r-1}(x_1, \dots, x_n) &= x_{n+1} \sum_{n \geq i_1 > i_2 > \dots > i_{r-1} \geq 1} \prod_{j=1}^{r-1} x_{i_j}^{2^{n-j+1-i_j}} \\
 &= \sum_{n \geq i_1 > i_2 > \dots > i_{r-1} \geq 1} x_{n+1} \prod_{j=1}^{r-1} x_{i_j}^{2^{n-j+1-i_j}}. \tag{15}
 \end{aligned}$$

Now, making  $i_1 = n + 1$  and  $i_{j+1} = i_j$  (moving the variable  $j$  to  $j + 1$ ), we obtain that (15) becomes

$$\sum_{n+1=i_1 > i_2 > \dots > i_r \geq 1} \prod_{j=1}^r x_{i_j}^{2^{n+1-j+1-i_j}}. \tag{16}$$

Notice that the variable  $x_{i_j}$  with exponent  $n - j + 1 - i_j$  in (15) coincide with the variable  $x_{i_{j+1}}$  with exponent  $n + 1 - j + 1 - i_{j+1}$  in (16).

Now, clearly the sum of (14) and (16) proves the lemma. □

**Proposition 6.3.** *For*

$$e_k(w) = \sum_{i=0}^{k-2} T_{k,i} [1]_w^{2^i},$$

*the following holds*

$$T_{k,i} = S_{k-2,k-2-i}(D_2, D_3, \dots, D_{k-1}),$$

*where*

$$D_i = e_i(t_i).$$

**Proof.** Using the identity

$$e_{k+1}(w) = e_k^2(w) + D_k e_k(w), \text{ for } k \geq 2,$$

we obtain the following recursive equations:

$$T_{k+1,0} = D_k T_{k,0},$$

$$T_{k+1,i} = T_{k,i-1}^2 + D_k T_{k,i},$$

$$T_{k+1,k-1} = 1.$$

Then, from induction suppose that the proposition is valid for  $T_{k,i}$ , using the recursive form, we get

$$\begin{aligned} T_{k+1,i} &= T_{k,i-1}^2 + D_k T_{k,i} \\ &= S_{k-2,k-2-(i-1)}^2(D_2, D_3, \dots, D_{k-1}) + D_k S_{k-2,k-2-i}(D_2, D_3, \dots, D_{k-1}) \\ &= S_{k-2,k-1-i}^2(D_2, D_3, \dots, D_{k-1}) + D_k S_{k-2,k-1-i-1}(D_2, D_3, \dots, D_{k-1}) \\ &= S_{k-1,k-1-i}(D_2, D_3, \dots, D_k). \end{aligned}$$

The last equality follows from Lemma 6.2. Now, the result follows from verifying that the coefficients  $T_{k,i}$  coincide with  $S_{k-2,k-2-i}(D_2, D_3, \dots, D_{k-1})$  for some first small values of  $k$ .  $\square$

For simplicity, set  $S_{k-2,k-2-i} := S_{k-2,k-2-i}(D_2, D_3, \dots, D_{k-1})$ .

**Corollary 6.4.** *The coefficients of the polynomial*

$$e_k(w) = \sum_{i=0}^{k-1} B_{k,i} w^{2^i}$$

are given by the formulas

$$B_{k,k-1} = T_{k,k-2} = S_{k-2,0} = 1,$$

$$B_{k,i} = T_{k,i} + T_{k,i-1} = S_{k-2,k-2-i} + S_{k-2,k-1-i}, \text{ for } 1 \leq i \leq k-2,$$

$$B_{k,0} = T_{k,0} = S_{k-2,k-2} = D_{k-1} D_{k-2} \cdots D_2.$$



**Proof.** Note that

$$\begin{aligned}
 e_k(w) &= \sum_{i=0}^{k-2} T_{k,i} [1]_w^{2^i} \\
 &= \sum_{i=0}^{k-2} T_{k,i} (w + w^2)^{2^i} \\
 &= T_{k,k-2} w^{2^{k-1}} + \sum_{i=1}^{k-2} (T_{k,i} + T_{k,i-1}) w^{2^i} + T_{k,0} w. \quad \square
 \end{aligned}$$

### 7. Relationship Among the Values $d_k$ , $\ell_k$ and $D_k$

Basically, these relationships are corollary of Theorem 5.1 and the explicit expression of the coefficients  $B_{k,i}$  developed in the previous section.

If we evaluate the polynomial equality

$$p_k(w) = \frac{e_k^2(w)}{d_k} + Ce_k(w) \tag{17}$$

in  $w = t_k$ , we get that

$$1 = \frac{D_k^2}{d_k} + CD_k.$$

Solving for  $C$ , we obtain

$$C = \frac{1}{D_k} + \frac{D_k}{d_k} = \frac{d_k + D_k^2}{D_k d_k}. \tag{18}$$

Now, using the definition of  $C$  in (5.1), we also have that

$$C = \frac{1}{d_{k-1}} + \frac{1 + D_{k-1}^2 + D_{k-2}^4 + \dots + D_2^{2^{k-2}}}{d_k},$$

since

$$B_{k,k-2}^2 = (1 + D_{k-1} + D_{k-2}^2 + \cdots + D_2^{2^{k-3}})^2,$$

from Corollary 6.4 and part (2) of Lemma 6.2.

Multiplying by  $D_k d_k$ , we obtain

$$CD_k d_k = \frac{D_k d_k}{d_{k-1}} + D_k (1 + D_{k-1}^2 + D_{k-2}^4 + \cdots + D_2^{2^{k-2}})$$

and using (18), we have

$$d_k + D_k^2 = \frac{D_k d_k}{d_{k-1}} + D_k (1 + D_{k-1}^2 + D_{k-2}^4 + \cdots + D_2^{2^{k-2}}).$$

Therefore

$$d_k \left( 1 + \frac{D_k}{d_{k-1}} \right) = D_k (1 + D_k + D_{k-1}^2 + \cdots + D_2^{2^{k-2}}),$$

and hence

$$\begin{aligned} d_k &= \frac{D_k d_{k-1}}{d_{k-1} + D_k} (1 + D_k + D_{k-1}^2 + \cdots + D_2^{2^{k-2}}) \\ &= \frac{D_k d_{k-1}}{d_{k-1} + D_k} \cdot B_{k+1, k-1}. \end{aligned} \quad (19)$$

Now, using the recursive formula (7) is easy to see that

$$d_2 = [1]_x$$

and also

$$D_2 = e_2(t_2) = [1]_{t_2} = [1]_x,$$

equation (19) gives a recursive procedure to calculate  $d_k$ , in terms of values  $D_i$ 's with  $2 \leq i \leq k$ .

Now, equating the coefficients of the linear terms of the polynomials in (17), we obtain that

$$\frac{1}{\ell_k} = CD_{k-1}D_{k-2} \cdots D_2$$

and using (18), we conclude that

$$\ell_k = \frac{D_k d_k}{(d_k + D_k^2)(D_{k-1}D_{k-2} \cdots D_2)}.$$

We summarize the above discussion in the main result of the article.

**Theorem 7.1.** *Recursive formulas to compute  $\ell_k$  and  $d_k$  values in terms of  $D_k$ 's,*

$$(1) \ d_2 = D_2,$$

$$(2) \ d_k = \frac{D_k d_{k-1}}{d_{k-1} + D_k} (1 + D_k + D_{k-1}^2 + \cdots + D_2^{2^{k-2}}),$$

$$(3) \ \ell_k = \frac{D_k d_k}{(d_k + D_k^2)(D_{k-1}D_{k-2} \cdots D_2)}.$$

### Acknowledgements

Francisco Portillo thanks Conacyt for financial support for a sabbatical stay at Universidad Autónoma de Yucatán under the project grant #261761.

The authors also thank the anonymous referees for their valuable suggestions which led to the improvement of the manuscript.

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